

AMENDMENT

Amendments to the Claims: Please replace all prior versions and listings of claims with the following listing of claims.

LISTING OF CLAIMS:

1. (Currently Amended) A computer implemented method for providing an electronic collaboration tool with which a financial services client and one or more financial services provider participants may interact, wherein the electronic collaboration tool is hosted on an information processing hub accessible to the financial services client and the financial services provider participants over one or more network communication links, the method comprising the steps of:

a) ~~enabling authorization of one or more participants to access client data stored in one or more databases coupled to the information processing hub, wherein the client data is related to one or more services provided for the financial services client through the electronic collaboration tool, wherein the one or more authorized participants include at least the financial services provider participants;~~

b) ~~enabling [[a]] the financial services client or participant and the authorized participants to submit client data related to the services provided for the financial services client to the electronic collaboration tool, wherein the client data submitted to the electronic collaboration tool is stored in the one or more databases;~~

c) ~~enabling the financial services client to submit one or more requests for request one or more services from the financial services provider participants through [[to]] the electronic collaboration tool; and~~

d) ~~enabling the client to interact with one or more financial service services provider participants to provide the requested services for the financial services client through the electronic collaboration tool, wherein enabling the financial services provider participants to provide the requested services includes enabling electronic collaboration tool further enables interaction among the financial services client and the financial services provider participants and further enabling interaction among the authorized participants, wherein the~~

enabled interaction comprises includes an exchange of the client data stored in the one or more databases.

2. (Currently Amended) The method of claim 1, wherein the authorized participants comprise advisors of the financial services client.

3. (Currently Amended) The method of claim 1, wherein the authorized participants comprise one or more entities authorized by the financial services client to participate in the electronic collaboration tool, wherein participating in the electronic collaboration tool includes providing one or more of the requested services for the financial services client.

4. (Currently Amended) The method of claim 1, further comprising ~~the step of e)~~ enabling the financial services client to define [[the]] a scope of authorization for each participant of the authorized participants.

5. (Currently Amended) The method of claim 1, wherein the client data comprises financial documents associated with the financial services client.

6. (Currently Amended) The method of claim 1, wherein the financial services participants comprise financial advisors ~~associated with~~ of the financial services client.

7. (Currently Amended) The method of claim 1, wherein the client data comprises client work product associated with the financial services client, wherein client the work product comprises one or more of documents, strategies, ideas, plans, correspondences, or and communications associated with the financial services client.

8. (Currently Amended) The method of claim 1, further comprising ~~the step of f)~~ enabling the financial services client to view ~~participant~~ input that one or more of the authorized participants provide to the electronic collaboration tool, wherein ~~participant~~ the input that the

one or more authorized participants provide to the electronic collaboration tool comprises one or more of edits, analysis, ~~or~~ and comments related to the client data.

9. (Currently Amended) The method of claim 1, further comprising ~~a step of g)~~ enabling the financial services client to define one or more triggering events and one or more alert mechanisms, wherein the alert mechanisms are used to send a notification to for notifying the financial services client of the occurrence of the in response to an occurrence of one or more of the triggering events, wherein the notification is sent over the network communication links.

10. (Currently Amended) The method of claim 1, wherein the databases include further ~~comprising a step h)~~ enabling the client to access a client history database ~~wherein the client history database maintains~~ maintaining information related to one or more previous updates relating to the client data or the services requested by the financial services client, the method further comprising enabling the financial services client to access the client history database.

11. (Currently Amended) A computer implemented method for providing an electronic collaboration tool with which one or more ~~financial~~ service provider participants may collaborate with each other, wherein the electronic collaboration tool is hosted on an information processing hub accessible to the client and the service provider participants over one or more network communication links, the method comprising ~~the steps of:~~

a) —enabling the one or more service provider participants to receive authorization by a client to access client data stored in one or more databases coupled to the information processing hub, wherein the client data is related to one or more services provided for the client through the electronic collaboration tool;

b) —enabling the ~~one or more financial~~ authorized service provider participants to access the electronic collaboration tool, wherein where the electronic collaboration tool enables the client to interact with one or more financial the authorized service provider participants and further enables the financial authorized service provider participants to

interact with each other, wherein the enabled interaction includes an exchange of the client data is exchanged stored in the one or more databases; and

c) ~~enabling the one or more financial~~ authorized service provider participants to access the client data, as authorized by stored in the databases based on a respective scope of authorization defined for each of the client ~~authorized~~ service provider participants.

12. (Currently Amended) The method of claim 11, wherein the authorized service provider participants comprise advisors of the client.

13. (Currently Amended) The method of claim 11, wherein the authorized service provider participants comprise one or more entities authorized by the client to participate in the electronic collaboration tool, wherein participating in the electronic collaboration tool includes providing one or more of the requested services for the client.

14. (Currently Amended) The method of claim 11 further comprising ~~the step of~~ enabling the client to define the respective scope of authorization for each ~~participant of the authorized~~ service provider participants.

15. (Currently Amended) The method of claim 11, wherein the client data comprises financial documents associated with the client.

16. (Currently Amended) The method of claim 11, wherein one or more of the authorized service provider participants comprise financial advisors ~~associated with~~ of the client.

17. (Currently Amended) The method of claim 11, wherein the client data comprises ~~client~~ work product associated with the client, wherein ~~client~~ the work product comprises one or more of documents, strategies, ideas, plans, correspondences, or ~~and~~ communications associated with the client.

18. (Currently Amended) The method of claim 11, further comprising enabling the authorized service provider participants ~~participant~~ to provide ~~participant~~ input to the electronic collaboration tool, wherein ~~participant the~~ input that the authorized service provider participants provide to the electronic collaboration tool comprises one or more of edits, analysis, or and comments related to the client data.

19. (Currently Amended) The method of claim 11, wherein the databases include a history database, the method further comprising storing wherein participant activity of the authorized service provider participants associated with the electronic collaboration tool is stored in [[a]] the history database, wherein the activity relates to the services requested by the client.

20. (Currently Amended) A computer implemented system for providing an electronic collaboration tool with which a financial services client and one or more financial service provider participants may interact, the system comprising:

an information processing hub configured to host the electronic collaboration tool, the information processing hub accessible to the financial services client and the financial services provider participants over one or more network communication links, wherein the electronic collaboration tool includes one or more processing systems collectively configured to:

a) ~~authorization means for enabling~~ enable authorization of one or more ~~financial service provider participants to access client data stored in one or more databases coupled to the information processing hub, wherein the client data is related to one or more services provided for the financial services client through the electronic collaboration tool, wherein the one or more authorized participants include at least the financial services provider participants;~~

b) ~~data means for enabling a~~ enable the financial services client ~~or participant and the authorized participants~~ to submit client data related to the services provided for the financial services client to the electronic collaboration tool, wherein the client data submitted to the electronic collaboration tool is stored in the one or more databases;

c) ~~request means for enabling~~ enable the financial services client to submit ~~one or more requests for request~~ one or more services ~~from the financial services~~ provider participants through ~~[[to]]~~ the electronic collaboration tool; and

d) ~~interaction means for enabling~~ enable the client to interact with one or more financial service ~~services~~ provider participants to ~~to provide the requested services~~ for the financial services client through the electronic collaboration tool, wherein enabling the financial services provider participants to provide the requested services ~~includes enabling electronic collaboration tool further enables~~ interaction among the financial services client and the financial services provider participants and further enabling interaction among the authorized participants, wherein the enabled interaction ~~comprises~~ includes an exchange of the client data stored in the one or more databases.

21. (Currently Amended) The system of claim 20, wherein the authorized participants comprise advisors of the financial services client.

22. (Currently Amended) The system of claim 20, wherein the authorized participants comprise one or more entities authorized by the financial services client to participate in the electronic collaboration tool, wherein participating in the electronic collaboration tool includes providing one or more of the requested services for the financial services client.

23. (Currently Amended) The system of claim 20, wherein the processing systems are further configured to enable ~~comprising scope means for enabling~~ the financial services client to define ~~[[the]]~~ a scope of authorization for each ~~participant of the authorized participants~~.

24. (Currently Amended) The system of claim 20, wherein the client data comprises financial documents associated with the financial services client.

25. (Currently Amended) The system of claim 20, wherein the financial services participants comprise financial advisors associated with ~~of~~ the financial services client.

26. (Currently Amended) The system of claim 20, wherein the client data comprises client work product associated with the financial services client, wherein client the work product comprises one or more of documents, strategies, ideas, plans, correspondences, or and communications associated with the financial services client.

27. (Currently Amended) The system of claim 20, wherein the processing systems are further configured to enable ~~comprising the view means for enabling~~ the financial services client to view participant input that one or more of the authorized participants provide to the electronic collaboration tool, wherein ~~participant the input that the one or more authorized participants provide to the electronic collaboration tool~~ comprises one or more of edits, analysis, or and comments related to the client data.

28. (Currently Amended) The system of claim 20, wherein the processing systems are further configured to enable ~~comprising alert means for enabling~~ the financial services client to define one or more triggering events and one or more alert mechanisms, wherein the alert mechanisms are used to send a notification to ~~for notifying the financial services client of the occurrence of the in response to an occurrence of~~ one or more of the triggering events, wherein the notification is sent over the network communication links.

29. (Currently Amended) The system of claim 20, wherein the databases include further comprising a client history database for storing maintaining information related to one or more previous updates relating to the client data or the services requested by the financial services client, the method further comprising enabling the financial services client to access the client history database.

30. (Currently Amended) A computer implemented system for providing a collaboration tool with which one or more service provider participants may collaborate with each other, the system comprising:

an information processing hub configured to host the electronic collaboration tool, the information processing hub accessible to the client and the service provider participants over one or more network communication links, wherein the electronic collaboration tool includes one or more processing systems collectively configured to:

a) ~~authorization means for enabling~~ enable the one or more service provider participants to receive authorization by ~~[[a]]~~ the client to access client data stored in one or more databases coupled to the information processing hub, wherein the client data is related to one or more services provided for the client through the electronic collaboration tool;

b) ~~access means for enabling~~ enable the ~~one or more~~ authorized service provider participants to access the electronic collaboration tool, wherein where the electronic collaboration tool enables the client to interact with ~~one or more~~ the authorized service provider participants and further enables the authorized service provider participants to interact with each other, wherein the enabled interaction includes an exchange of the client data is exchanged stored in the one or more databases; and

c) ~~data means for enabling~~ enable the authorized service provider one or more participants to access the client data, ~~as authorized by~~ stored in the databases based on a respective scope of authorization defined for each of the client authorized service provider participants.

31. (Currently Amended) The system of claim 30, wherein the authorized participants comprise advisors of the financial services client.

32. (Currently Amended) The system of claim 30 wherein, wherein the authorized participants comprise one or more entities entity authorized by the financial services client to

participate in the electronic collaboration tool, wherein participating in the electronic collaboration tool includes providing one or more of the requested services for the financial services client.

33. (Currently Amended) The system of claim 30, wherein the processing systems are further configured to enable ~~comprising scope means for enabling~~ the financial services client to define ~~[[the]]~~ a scope of authorization for each ~~participant~~ of the authorized participants.

34. (Currently Amended) The system of claim 30, wherein the client data comprises financial documents associated with the financial services client.

35. (Currently Amended) The system of claim 30, wherein the authorized service provider participants comprise advisors of the client.

36. (Currently Amended) The system of claim 30, wherein the client data comprises client work product associated with the client, wherein client the work product comprises one or more of documents, strategies, ideas, plans, correspondences, or ~~and~~ communications associated with the client.

37. (Currently Amended) The system of claim 30, wherein the processing systems are further configured to enable ~~comprising input means for enabling~~ the authorized service provider participants ~~participant~~ to provide ~~participant~~ input to the electronic collaboration tool, wherein ~~participant~~ the input that the authorized service provider participants provide to the electronic collaboration tool comprises one or more of edits, analysis, or ~~and~~ comments related to the client data.

38. (Currently Amended) The system of claim 30, wherein the databases include a history database, the method further comprising storing wherein-participant activity of the authorized

service provider participants associated with the electronic collaboration tool is stored in [[a]] the history database, wherein the activity relates to the services requested by the client.

39. (Currently Amended) A computer implemented method for providing [[a]] an electronic collaboration tool with which a client of more than one financial services advisor and one or more a plurality of financial services advisor participants of the client may interact, wherein the electronic collaboration tool is hosted on an information processing hub accessible to the client and the financial services advisor participants over one or more network communication links, the method comprising the steps of:

enabling [[a]] the client of more than one financial services advisor to submit personal and financial data to the electronic collaboration tool, wherein the personal and financial data submitted to the electronic collaboration tool is stored in one or more databases coupled to the information processing hub, wherein the one or more databases include a client history database;

enabling the client to authorize one or more of the plurality of financial services advisor participants to access the personal and financial data about stored in the client one or more databases, wherein the client can define a respective level of access to the personal and financial data for each of the authorized financial services advisor participants;

enabling the client to submit one or more requests for request one or more services from the authorized financial services advisor participants through [[to]] the electronic collaboration tool, wherein the collaboration tool further comprises: authorized a client module that enables the client to access data associated with the client and define data level access control for one or more financial services advisors; an advisor participants can module that enables one or more financial services advisors to select a client for which the client has previously granted the financial services advisor access to that clients data, and to select one or more activities an activity related to the selected client requested services;

storing activity of the authorized financial services advisor participants associated with the electronic collaboration tool in the a client history database, wherein that stores data related to the client and records activity stored in associated with the client history database

includes information related to at least one of the services requested by the client or the activities selected by the authorized financial services advisor participants; and

enabling the ~~a collaboration module that enables~~ a client and ~~the one or more~~ authorized financial services ~~participants~~ advisors to communicate ~~online over the network~~ communication links, wherein the authorized financial services advisor participants can use the personal and financial data stored in the one or more databases to provide the requested services for the client through the electronic collaboration tool.

40. (Currently Amended) The computer implemented method of claim 39, ~~wherein the client module further comprises~~ comprising:

enabling the ~~an action request module that enables~~ a client to request ~~one or more~~ financial services ~~advisors to~~ an update ~~one or more~~ of the client's files ~~from one or more of~~ the authorized financial services advisor participants, wherein the client's files include at least the personal and financial data stored in the one or more databases; and

enabling ~~a program alert module that enables~~ the client to define one or more personalized alerts in response to one or more triggering events, wherein one or more of the personalized alerts are ~~sent to~~ defined by the client ~~over the network communication links in~~ response to an occurrence of one or more of the triggering events.

41. (Currently Amended) The computer implemented method of claim 39, ~~wherein the collaboration module further comprises~~ comprising:

enabling the ~~a document view module that enables~~ a client and ~~one or more the~~ authorized financial services ~~advisors~~ advisor participants to view and edit one or more documents related to the client, wherein the personal and financial data ~~that are~~ stored in a ~~central repository~~ the one or more databases include at least the one or more documents;

enabling ~~an update module that enables~~ the client and ~~one or more the~~ authorized financial services ~~advisors~~ advisor participants to view a summary of edits for ~~modifications~~ performed relative to the one or more documents, wherein the summary of the edits is stored in the client history database; and

~~enabling a chat board that enables~~ the client and ~~one or more~~ the authorized financial services ~~advisors~~ advisor participants to engage in a real time online conference through the electronic collaboration tool.

42-55. (Cancelled)